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PATENT
Attorney Docket No. 212583

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Nickoloff et al.

Application No. 09/944,849

Art Unit: 1646

Filed: August 31, 2001

Examiner: Unassigned

For: MEHTOD AND REAGENTS FOR EPITHELIAL
BARRIER FORMATION AND TREATMENT OF
MALIGNANT AND BENIGN SKIN DISORDERS
BY MODULATING THE NOTCH PATHWAY

04/29/2002 SDIRETA1 00000039 121216 09944849

01 FC:202

4.00 CH

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

ATTN: Official of Initial Patent Examination
Customer Service Center

Dear Sir:

Applicants respectfully request that a corrected version of the Official Filing Receipt be issued for the above-identified patent application.

Under Ind. Claims:

The number of "7", should read --9--.

Please enter this correction as noted on the enclosed copy of the Official Filing Receipt.

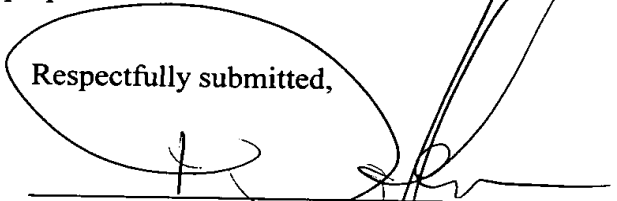
02/13/2002 SDENBOB1 00000052 121216 09944849
01 FC:102 4.00 CH 80.00 DP

Adjustment date: 04/29/2002 SDIRETA1
02/13/2002 SDENBOB1 00000052 121216 09944849
01 FC:102 4.00 CR -80. DP

In re Appln of Nickoloff et al.
Application No. 09/944,849

\$160.00 has already been paid for the seven independent claims listed on the Official Filing Receipt. A check in the amount of \$80.00 is enclosed for the additional claim fee for the two independent claims. Moreover, the Commissioner is hereby authorized to charge any additional fees that may be required to Deposit Account No. 12-1216. A duplicate copy of this Communication is included for that purpose.

Respectfully submitted,


M. Daniel Hefner, Reg. No. 41,826
One of the Attorneys for Applicants
LEYDIG, VOIT & MAYER, LTD.
Two Prudential Plaza, Suite 4900
180 North Stetson
Chicago, Illinois 60601-6780
(312) 616-5600 (telephone)
(312) 616-5700 (facsimile)

Date: January 22, 2002

CERTIFICATE OF MAILING

I hereby certify that this REQUEST FOR CORRECTED FILING RECEIPT (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to : Commissioner for Patents, Washington, D.C. 20231.

Date: 1-22-02

Cindy R. Dwyer



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER OF PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/944,849	08/31/2001	1646	812	212583	1	53	79

000023460
LEYDIG VOIT & MAYER, LTD
TWO PRUDENTIAL PLAZA, SUITE 4900
180 NORTH STETSON AVENUE
CHICAGO, IL 60601-6780

CONFIRMATION NO. 4478

FILING RECEIPT



OC000000007125072

Date Mailed: 11/27/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Brian J. Nickoloff, Burr Ridge, IL;
Lucio Miele, Wayne Township, IL;

Assignment For Published Patent Application

Loyola University Chicago, Maywood, IL;

LEYDIG, VOIT & MAYER
RECEIVED

DEC 04 2001

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/229,614 08/31/2000

PAT/TM Due Date

noted

Foreign Applications

If Required, Foreign Filing License Granted 11/27/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

CGC

LAH

Method and reagents for epithelial barrier formation and treatment of malignant and benign skin



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/944,849	08/31/2001	Brian J. Nickoloff	212583

FEB 11 2002

PATENT & TRADEMARK

CONFIRMATION NO. 4478

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LEYDIG VOIT & MAYER, LTD
TWO PRUDENTIAL PLAZA, SUITE 4900
180 NORTH STETSON AVENUE
CHICAGO, IL 60601-6780

COPY OF PAPERS
ORIGINALLY FILED

FORMALITIES LETTER

OC00000007125073

Date Mailed: 11/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

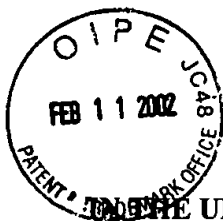
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2/13/2002 SMINASS1 00000005 09944849

1 FC:205

65.00 OP



PATENT
Attorney Docket No. 212583

Spec
SA

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Nickoloff et al.

Application No. 09/944,849

Art Unit: 1646

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COPY OF PAPERS
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For: METHOD AND REAGENTS FOR EPITHELIAL
BARRIER FORMATION AND TREATMENT OF
MALIGNANT AND BENIGN SKIN DISORDERS
BY MODULATING THE NOTCH PATHWAY

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

Dear Sir

Applicants hereby respond to the Notice to File Missing Parts of Application dated November 27, 2001.

The items checked below are appropriate:

1. Status of Applicant

This application is on behalf of ☐ other than a small entity or ☒ a small entity.

2. Documents Submitted Herewith

- a. ☒ Executed Declaration of inventor(s)
- b. ☒ Nucleotide and/or Amino Acid Sequence Submission:
 - i. ☐ Computer Readable Form (CRF)
 - ii. Specification Sequence Listing on:
 - (1) ☐ CD-ROM or CD-R (2 copies); or
 - (2) ☐ Paper Copy
 - iii. ☐ Statement verifying identity of above copies
- c. ☐ Verified English translation of application
- d. ☒ Copy of the Notice to File Missing Parts of Application.
- e. ☐ Substitute Drawings
- f. ☐ Other:

CERTIFICATE OF MAILING

I hereby certify that this document (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date: January 22, 2002

Cindy R. Dwyer

3. Fees

Application Filing Fee

APPLICATION FEES				
BASIC FEE				\$ 740.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	-20=		x \$18.00	\$
Independent Claims	- 3=		x \$84.00	\$
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable			+\$280.00	\$
Total of above calculations =				\$
Reduction by 50% for filing by small entity =				\$()
Fee Due =				\$

Late Filing of Oath or Declaration

Pursuant to 37 CFR 1.16(e), the surcharge for filing this Response is for ☐ other than a small entity or ☒ a small entity.

Fee Due \$ 65.00

Submitting a Translation of the Specification

Pursuant to 37 CFR 1.17(i), the surcharge for submitting an English translation of the non-English specification is included.

Fee Due \$ 0.00

Extension of Time

☐ Applicants petition for a one-month extension of time under 37 CFR 1.136, the fee for which is \$110.00.

☒ Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicants have inadvertently overlooked the need for a petition and fee for extension of time.

Fee Due \$0.00

4. Total Fee Due

The total fee due is:

Application Filing Fee	\$
Late Filing of Declaration Surcharge	\$ 65.00
Non-English Specification Surcharge	\$ 0.00
Extension of Time Fee	\$ 0.00

Total Fee Due: \$65.00

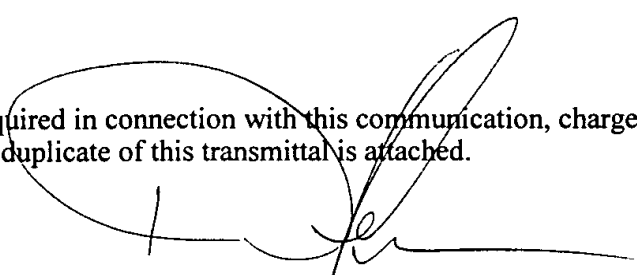
In re Appln. of Nickoloff et al.
Application No. 09/944,849

5. Fee Payment

- ☒ Attached is a check in the sum of \$65.00.
☐ Charge Account No. 12-1216 the sum of \$. A duplicate of this transmittal is attached.

6. Fee Deficiency

- ☒ If any additional fee is required in connection with this communication, charge Account No. 12-1216. A duplicate of this transmittal is attached.



M. Daniel Hefner, Reg. No. 41,826
One of the Attorneys for Applicant(s)
LEYDIG, VOIT & MAYER, LTD.
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(312) 616-5600 (telephone)
(312) 616-5700 (facsimile)

Date: January 22, 2002

Missing Parts Response (Revised 10/18/01)